
Chapter 8. Appendixes

CALIFORNIA
Assessment of Student Performance and Progress

CAASPP Online Test Administration Manual

2016-17 Administration

Smarter Balanced for English Language Arts/
Literacy and Mathematics Summative Assessments
California Alternate Assessments for English
Language Arts/Literacy, Mathematics, and
Science
California Science Test



Updated March 22, 2017.
Prepared by Educational Testing Service ©

Appendix A: Frequently Used Terms

Table 24 defines terms that are specific to California Assessment for Student Performance and Progress (CAASPP) testing in California.

Table 24. Frequently Used Terms

Term	Definition
Accommodation	Changes in procedures or materials that increase equitable access during the CAASPP assessments. Assessment accommodations generate valid assessment results for students who need them; they allow these students to show what they know and can do. Accommodations are available for students with documented individualized education programs (IEPs) or Section 504 plans. Consortium-approved accommodations do not compromise the construct, grade-level standards, or intended outcome of the assessments. See the Smarter Balanced <i>Usability, Accessibility, and Accommodations Guidelines</i> , linked on the California Department of Education (CDE) Student Accessibility Supports Web page at http://www.cde.ca.gov/ta/tg/ca/accesssupport.asp , for complete information.
Appeal	Authorized users—local educational agency (LEA) CAASPP coordinators and CAASPP test site coordinators—may submit and view requests for resetting, re-opening or invalidating students’ summative assessments in accordance with state policy. These requests must result from a test security incident or incorrect test setting that impacted summative testing that is reported using the <i>CAASPP Security and Test Administration Incident Reporting System (STAIRS)</i> form; appeals are submitted through the Test Operations Management System (TOMS). All requests must be approved by the CDE.
Break	There is no limit on the number of breaks or the length of a break that a student may be given according to his or her unique needs. However, for some portions of the test, breaks of more than 20 minutes will prevent the student from returning to items already attempted by the student. For a performance task (PT), the student can break for any amount of time and still return to any previously answered item within the PT’s current segment only.
California Alternate Assessment (CAA)	The summative California Alternate Assessments (CAAs) for English language arts/literacy (ELA), mathematics, and science are administered to eligible students. The CAAs are for students with the most significant cognitive disabilities and whose IEP team has designated the use of an alternate assessment on statewide summative assessments. Eligible students are those whose disability prevents them from taking the Smarter Balanced Summative Assessments for ELA and mathematics and the California Science Test.
California Science Test (CAST)	Online science assessment administered to students in grades five, eight, and a high school grade that is based on the California Next Generation Science Standards.

Term	Definition
CAASPP Test Site Coordinator	School staff member responsible for monitoring the test schedule, process, test administrators, and test examiners. CAASPP test site coordinators are also responsible for ensuring that test administrators and test examiners have been appropriately trained and that testing is conducted in accordance with the test security and other policies and procedures established by the Smarter Balanced Assessment Consortium and the CDE. CAASPP test site coordinators must have a signed <i>CAASPP Test Security Agreement</i> on file with the LEA CAASPP coordinator.
Computer Adaptive Test (CAT)	Based on student responses, the computer program selects the difficulty of items throughout the assessment. By adapting to the student as the assessment is taking place, these assessments present an individually tailored set of items to each student and provide more accurate scores for all students across the full range of the achievement continuum.
Designated Supports	Accessibility features of the assessments available for use by any student for whom the need has been indicated by an educator (or team of educators working with the parent/guardian and student). See the Smarter Balanced <i>Usability, Accessibility, and Accommodations Guidelines</i> , linked on the CDE Student Accessibility Supports Web page at http://www.cde.ca.gov/ta/tg/ca/accesssupport.asp , for complete information.
Educator – District	LEA personnel granted access by the LEA CAASPP coordinator to assessment results in the Online Reporting System (ORS) for the entire LEA.
Educator – Test Site	LEA or school personnel granted access to assessment results in the ORS for an entire school.
Educator – Roster	LEA or school personnel granted access to assessment results in an associated roster in the ORS. Rosters of students are created and assigned to the user by the LEA CAASPP coordinator or test site coordinator. Note that unless the user has been assigned to a student roster, he or she cannot see any student results in the ORS.
Embedded performance task (PT)	A series of related test questions that are included into regular classroom instruction. After the student has responded to the items incorporated into a lesson, the test examiner applies a rubric to score the student's responses and then records the student's scores. Student responses are stored to demonstrate changes in the mastery of content over time.
Full-write	A component of the ELA performance task that requires the student to develop an informative/explanatory, narrative, or opinion/argumentative multi-paragraph piece of writing for which he or she engages the full writing process.
Grace period extension	An appeal for CAT summative assessments that allows the student to review previously answered questions upon logging back on to the assessment after expiration of the pause rule. Note that for a PT, having the test administrator open a new testing session may be all that is needed to continue testing. Note: A grace period extension will only be granted in cases where there was a disruption to a test session, such as a technical difficulty, fire drill, schoolwide power outage, earthquake, or other act beyond the control of the test administrator.
Invalidation	A specific appeal for summative assessments in the Appeals System. Tests invalidated in the Appeals System will be scored and scores will be provided on the Student Score Report (with a note that an irregularity occurred). The student(s) will be counted as participating in the

Term	Definition
	<p>calculation of the school's participation rate for federal accountability purposes. The score will be counted as "not proficient" for aggregation into the CAASPP results.</p> <p>Permission for an invalidation is initiated by first reporting an incident using the online <i>CAASPP STAIRS</i> form and then submitting an appeal through TOMS. While the request was made to invalidate a student's summative assessment, the student should be permitted to finish it. See the <i>Security and Test Administration Incident Procedure Guide</i> at http://www.caaspp.org/rsc/pdfs/CAASPP.stairs-appeals-guide.2016-17.pdf for more information on the Appeals process.</p>
Interim Assessment Administrator Only	LEA or school personnel responsible for administering only the interim assessments to students.
Item	A test question or stimulus presented to a student to elicit a response.
LEA CAASPP Coordinator	LEA-level staff member who is responsible for the overall administration of the summative assessments in an LEA. LEA CAASPP coordinators should ensure that the CAASPP test site coordinators, test administrators, and test examiners in their LEAs are appropriately trained and aware of policies and procedures. LEA CAASPP coordinators are designated by their district superintendents or the administrator of their independently testing charter school and must have a signed <i>CAASPP Test Security Agreement</i> on file with the California Technical Assistance Center (CaTAC).
Pause	<p>Action taken by a student, test administrator, or test examiner to temporarily halt the test during any part of the test, as needed. Pauses of more than 20 minutes in the CAT component of the test will prevent the student from returning to items already attempted, completed, and/or flagged for review.</p> <p>For a performance task, the student can pause for any amount of time and still return to any previously answered item within the PT's current segment only. More information on test pausing is available in the subsection General Test Administration Information.</p>
Performance Task (PT)	A PT is an item type designed to provide students with an opportunity to demonstrate their ability to apply their knowledge and higher-order thinking skills to explore and analyze a complex, real-world scenario. It is a required portion of the test. (Note that there are no PTs for the online CAAs for ELA and mathematics.)
Re-open	<p>A specific appeal for summative assessments in the Appeals System. It applies to a test that has already been submitted or has expired and allows the student to access the previously closed test.</p> <p>For example, a summative assessment may be re-opened if a student started a test and became ill and was unable to resume testing until after the testing opportunity expired.</p> <p>Permission for a re-open is initiated by first reporting an incident using the online <i>CAASPP STAIRS</i> form and then submitting an appeal through TOMS. See the <i>Security and Test Administration Incident Procedure Guide</i> at http://www.caaspp.org/rsc/pdfs/CAASPP.stairs-appeals-guide.2016-17.pdf for more information on the Appeals process.</p>
Reset	<p>A specific appeal for summative assessments in the Appeals System. Resetting a student's test removes that test from the system and enables the student to start a new test. Any work previously done on the test would be lost.</p> <p>Valid reasons for a reset would be if a student's summative test event was administered inconsistently with the student's IEP (this would include both embedded and non-embedded accommodations) or if the student</p>

Term	Definition
	<p>started a test and didn't have a needed language support (such as a language glossary).</p> <p>Permission for a reset is initiated by first reporting an incident using the online <i>CAASPP STAIRS</i> form and then submitting an appeal in TOMS. See the <i>Security and Test Administration Incident Procedure Guide</i> at http://www.caaspp.org/rsc/pdfs/CAASPP.stairs-appeals-guide.2016-17.pdf for more information on the Appeals process.</p>
Restore	<p>A specific appeal for summative assessments in the Appeals System. Restore a test from the "Reset" status to its prior status. This action can only be performed on assessments that have been reset by the LEA.</p> <p>An LEA CAASPP coordinator must contact the California Technical Assistance Center for permission to restore a test(s).</p>
Secure Browser	<p>A Web browser that is downloaded and installed on a device prior to a student beginning the test. The secure browser is specifically designed for use with the CAASPP online assessments to provide secure access prevent students from accessing specific hardware and software functions (e.g., other Web browsers, screenshot programs) that are not allowed during the assessments. The current version the secure browser, 9.x, does <i>not</i> have auto-update capability and must always be updated manually.</p>
Security and Test Administration Incident Reporting System (STAIRS) Process	<p>The STAIRS process is the means by which LEAs and schools report a test security incident or other testing issue that interferes with the administration and completion of the summative assessments. The first step in the process is the filing of the online <i>CAASPP STAIRS form</i>; an appeal cannot be requested without the submission of this form and the subsequent receipt of a valid STAIRS case number. The <i>STAIRS</i> form returns an e-mail with recommended actions to take and a summary of the information submitted that the recipient should retain for at least a year. The STAIRS process is used to report incidents that occur with summative assessments only.</p>
Segment	<p>A part of a test within the test delivery system. Depending on the test, segments typically separate items from others if the eligible tools are different (i.e., the mathematics test may have two segments—one segment that allows calculator use and another segment that does not). When a student completes a segment of the test, he or she will receive a message that indicates that once that segment is submitted it is no longer possible to return to that segment.</p>
Session	<p>A timeframe in which students actively test in a single sitting. The length of a test session is determined by building or LEA administrators who are knowledgeable about the periods in the building and the timing needs associated with the assessment. Smarter Balanced recommends that session durations range between 40 and 120 minutes for the online Smarter Balanced Summative Assessments. However, all online CAASPP assessments, including the CAAs, are not timed, and an individual student may need more or less time overall. Further, individual students will have unique needs regarding the length of a test session.</p> <p>Smarter Balanced recommends the CAT portion of the Smarter Balanced ELA and mathematics assessments be administered in at least two sessions.</p> <p>Note: A test session does not need to end when a segment ends.</p>

Term	Definition
Statewide Student Identifier (SSID)	A unique, non-personally-identifiable number linked to a given individual student within the California public kindergarten through grade twelve educational system. SSIDs are used to maintain data on individual students, such as linking students to statewide assessment scores and tracking students in and out of schools and LEAs in order to determine more accurate dropout and graduation rates.
Stimulus/Stimuli	Material or materials used in the test context which form the basis for assessing the knowledge and skills of students. Many items/tasks for the assessments include a stimulus along with a set of questions to which the student responds. Stimulus materials are used in ELA and mathematics assessments to provide context for assessing the knowledge and skills of students and are diverse. They can be traditional reading passages/texts but viewed on a computer screen; images with audio presentations for students to listen to; simulated Web pages for students to use for research; or scenarios to react to.
Survey of Student Characteristics (SSC)	A required questionnaire about the student being tested. The purpose of the SSC is to explore whether the teachers' knowledge of their students can be used to improve and develop the CAAs for future years. The test examiner must complete the SSC for each student after the student has completed each of the ELA and mathematics assessments. The test examiner completes the SSC on the student's testing device through the CAASPP secure browser. Students whose tests were ended early as a result of the student response check will not receive the SSC.
Test Administrator	LEA or school personnel responsible for administering summative assessments in a secure manner in compliance with the policies and procedures outlined in this manual. Test administrators are required to have a signed <i>CAASPP Test Security Affidavit</i> on file with their CAASPP test site coordinator.
Test Examiner	Credentialed or licensed LEA or school employee responsible for administering alternate summative assessments in a secure manner in compliance with the policies and procedures outlined in this manual and the secure <i>CAA Directions for Administration</i> . Test examiners are required to have a signed <i>CAASPP Test Security Affidavit</i> on file with their CAASPP test site coordinator.
Test Operations Management System (TOMS)	The management system used for the CAASPP assessments. This is the system through which users interact with and inform the test delivery system. This management system provides administrators with the tools to add and manage users and students participating in the Smarter Balanced assessments including assigning tests and student test settings and user roles. This system uses a role-specific design to restrict access to certain tools and applications based on the user's designated role. The <i>TOMS Pre-Administration Guide for CAASPP Testing</i> is available on the TOMS Web page on the CAASPP Portal at http://www.caaspp.org/administration/toms/ .
Testing Breach	A security event that poses a threat to the validity of the summative assessments. Examples may include such situations as a release of secure materials or a security/system risk. These circumstances have external implications for the Consortium and/or the CDE and may result in a decision to remove the test item(s) from the available secure bank. A breach incident must be reported immediately to the CDE by telephone and by using the CAASPP STAIRS process. For more information on how to proceed using the STAIRS process when an incident has occurred, please refer to the subsection Using the

Term	Definition
	<p>Security and Test Administration Incident Reporting System of this manual and the <i>Security and Test Administration Incident Procedure Guide</i> at http://www.caaspp.org/rsc/pdfs/CAASPP_stairs-appeals-guide.2016-17.pdf.</p>
Testing Impropriety	<p>An unusual circumstance that has a low impact on the individual or group of students who are taking summative assessments and has a low risk of potentially affecting student performance on the test, test security, or test validity. These circumstances can be corrected and contained at the local level.</p> <p>For specific details on how to proceed using the STAIRS process when an incident has occurred, please refer to the subsection Using the Security and Test Administration Incident Reporting System of this manual and the <i>Security and Test Administration Incident Procedure Guide</i> at http://www.caaspp.org/rsc/pdfs/CAASPP_stairs-appeals-guide.2016-17.pdf.</p>
Testing Irregularity	<p>An unusual circumstance that impacts an individual or group of students who are taking summative assessments and may potentially affect student performance on the tests, test security, or test validity. These circumstances can be corrected and contained at the local level, but are submitted in the Appeals System after the <i>STAIRS</i> form has been filed for resolution of the appeal for testing impact.</p> <p>For specific details on how to proceed using the STAIRS process when an incident has occurred, please refer to the subsection Using the Security and Test Administration Incident Reporting System of this manual and the <i>Security and Test Administration Incident Procedure Guide</i> at http://www.caaspp.org/rsc/pdfs/CAASPP_stairs-appeals-guide.2016-17.pdf.</p>
Universal Tools	<p>Available to all students based on student preference and selection. See the <i>Smarter Balanced Usability, Accessibility, and Accommodations Guidelines</i>, linked on the CDE Student Accessibility Supports Web page at http://www.cde.ca.gov/ta/tg/ca/accesssupport.asp, for complete information.</p>
Unlisted Resources	<p>An instructional support that a student regularly uses in daily instruction and/or assessment that has not been previously identified as a universal tool, designated support or accommodation. Matrix One: CAASPP, which is found at http://www.cde.ca.gov/ta/tg/ai/caasppmatrix1.asp, includes a list of unlisted resources that have already been identified and are preapproved. You must register that a student will be assigned one of these preapproved unlisted resources by completing the Unlisted Resources Web form for that student in TOMS.</p>

All Roles

Appendix B: Online Item Types

Additional Resources:

- CAASPP Practice and Training Tests Portal Web page—
<http://www.caaspp.org/practice-and-training/>

Online Item and Response Types

As students engage with the online assessments, they will be asked test questions that require them to respond in several ways, some of which may be new to students.

There are several resources that teachers and students can use to get ready for the test, including a practice test and a training test. As pointed out in [Appendix C](#) of this manual, it is **highly recommended** that **ALL** students access the Training Test Web page before taking the test. Doing so will provide students an opportunity to view and practice each of the item types.

The Practice Tests and Training Tests are available on the California Assessment of Student Performance and Progress (CAASPP) [Online Practice and Training Tests Portal](#) Web page.

Summary of Online Item Types and How to Provide Responses— General Assessments

Table 25 lists the different item types and briefly describes each one.



Not all assessments will necessarily include all item types.

Table 25. Online Item and Scoring Types—General Assessments

Content Area	Type of Item	Brief Description of How to Respond
English language arts/literacy (ELA) and Mathematics	Multiple Choice (MC)	select a single option
	Multiple Select (MS)	select one or more options
	Match Interaction (MI)	match text or images in rows to values in columns
	Short Answer Text Response (SA)	keyboard entry
Mathematics Only	Grid Item (GI) – Drag and Drop	drag-and-drop single or multiple elements into a background image
	Grid Item (GI) – Hot Spot	select certain areas of an image
	Grid Item (GI) – Graphing	plot points and/or draw lines
	Table Interaction (TI)	keyboard entry into table cells
	Equation (EQ)	enter equation or numeric response using on-screen panel containing mathematical characters
ELA Only	Evidence Based Selected Response (EBSR)	two part item: respond to a Multiple Choice (MC) item, and then respond to a Multiple Select (MS) item
	Hot Text (HTQ)	select sections of text, or drag-and-drop sections of text
	Writing Extended Response (WER)	keyboard entry

Content Area	Type of Item	Brief Description of How to Respond
Science	Multiple Choice (MC)	select a single option
	Multiple Select (MS)	select one or more options
	Short Answer Text Response (SA)	keyboard entry
	Grid Item (GI) - Hot Spot	select certain areas of an image
	Match Interaction (MI)	match text or images in rows to values in columns
	Text Entry	Keyboard alpha/numeric entry
	Inline Choice	multiple-options selected response
	Associate	table format, select entry to create pairings
	Hot Text (HTQ)	select sections of text, or drag-and-drop sections of text
	Custom	Manipulate collection of interactive items and custom interactive stimuli in a set with multiple-scored interactive components (e.g., simulations)

Summary of Online Item Types and How to Provide Responses—Alternate Assessments

The CAAs for ELA and mathematics feature innovative and technology-enhanced items that are designed to be engaging for students and represent a variety of types and approaches. The list below gives details about these items, describing the type of functionality the student and test examiner will see. Note that the CAA for Science is administered as an embedded performance task that is part of classroom instruction; these item types do not apply.

Table 26. Online Item and Scoring Types—Alternate Assessments

Type of Item	Brief Description of How to Respond
Set Leader	This is a stimulus such as a passage or an image. The Leader has one or more questions assigned to it, based on its content.
Multiple Choice	<p>These items consist of question and list of choices; they may also include a stimulus or subset of a passage as the stimulus.</p> <ul style="list-style-type: none"> • Single Select—The student must select the one correct choice to receive credit. • Multiple Select—The student must select all correct choices to receive credit. • Partial Credit—The student must respond to a stimulus by providing a direct response to the test examiner who then rates the response by assigning a weighted score, using the rubric provided in the <i>Directions for Administration</i>. • Multiple Attempt (Try-2)—The student makes an initial selection, and, if the response is incorrect, one option is removed leaving two remaining options from which to choose for a second attempt.

Type of Item	Brief Description of How to Respond
<p>Inline Choice List</p>	<p>These items consist of questions with a drop-down list of choices to fill in the blank.</p> <ul style="list-style-type: none"> • Single Select—The student must fill a single blank from a drop-down list for credit. • Multiple Select—The student must fill multiple blanks from a drop-down list for credit.
<p>Numeric</p>	<p>The student responds by selecting a single entry box with a numeric value. The numeric value may contain decimals or a slash line to represent a fraction.</p>
<p>Grid</p>	<p>These items consist of a table with descriptions or characteristics, which the student then selects a box in a column or row for true-and-false or yes-or-no type of questions.</p> <ul style="list-style-type: none"> • Single Select—The student marks a single cell in a table for credit. • Multiple Select—The student marks two or more cells in a table. The question might only allow one selection per row or column but it might also have no restrictions in the number of cells a student can mark.
<p>Zones</p>	<p>These items have answer choices that are predefined “hotspots” on an image, word, or phrase within a sentence. When the student selects a spot, the selection is highlighted, shaded, or outlined in red.</p> <ul style="list-style-type: none"> • Single Select—The student selects one zone for credit. • Multiple Select—The student selects two or more zones for credit.
<p>Graph</p>	<p>These items allow student to plot points, lines, and/or multi-segment lines on a graph. A Graph may have points or lines that appear when the graph first displays that the student cannot manipulate, or it may be interactive, and the student must manipulate them to respond.</p>
<p>In Line Text Choices</p>	<p>These items present a short passage or a paragraph that contains underlined words or phrases. These underlined words or phrases represent the choices a student can select.</p> <ul style="list-style-type: none"> • Single Select—The student selects one term or phrase. • Multiple Select—The student selects two or more terms or phrases.
<p>Bar-Picturegraph/Histogram</p>	<p>These items present a bar graph to the student to manipulate the bar height to represent an answer.</p> <ul style="list-style-type: none"> • Single Select—The student manipulates one graph bar. • Multiple Select—The student manipulates two or more graph bars.
<p>Composite</p>	<p>These items require a test taker to respond to a stimulus by using multiple item types in a single item with multiple response portions. Scoring is dependent on which item type combination is selected, and the raw weights for each part are combined to give an item score.</p>

Type of Item	Brief Description of How to Respond
Match (drag-and-drop)	<p>These items require the student to move objects such as words or images by selecting and dragging the objects into a specific order or to a specific location in a table, passage, or image.</p> <ul style="list-style-type: none"> • Single Select—The student drags and drops a single choice into the appropriate location. • Multiple Select—The student drags and drops two or more choices into the appropriate locations. <p>Note: Some of the questions in this test require “dragging and dropping” objects into certain designated areas on the screen. Most items were designed to snap into place, however, some were not. For the items that do not snap into place, please take care that you or your student are dropping the object precisely into the intended area(s) to ensure accurate system scoring. The object cannot overlap border lines or the edges of the intended area(s), and should rest squarely in the intended area(s). If your student is doing the dragging and dropping, please help your student ensure objects are dropped fully within the intended area.</p>

All Roles

Technical Skills to Access Embedded Resources

To access some of the embedded resources (such as strikethrough, highlighter, American Sign Language videos, and text-to-speech) that are available to help work through these item types, students may need to access the **context menu**. Please note the method to access the context menu is dependent on the student’s device type. Devices and methods are shown in Table 27.

Table 27. Context Menu for Selecting with the Right Mouse Button

Device Type	Method to Access the Context Menu
Windows-based desktop or laptop (two-button mouse)	Select with the right mouse button.
Mac OS-based desktop or laptop (one-button mouse)	Hold down the [Ctrl] key on keyboard and then select with the mouse button.
iPad tablet (touchscreen)	Tap on the menu in the upper-left corner of the secure browser application.
Android-based tablet (touchscreen)	Tap on the menu in the upper-left corner of the secure browser application.
Chromebook (trackpad)	Hold down the [Alt] key on the keyboard and tap the trackpad.

Appendix C: Practice and Training Tests

Additional Resources:

- California Assessment of Student Performance and Progress (CAASPP) Practice and Training Tests Portal Web page—<http://www.caaspp.org/practice-and-training/>
- *Accessibility Guide for CAASPP Online Testing* Web document—<http://www.caaspp.org/rsc/pdfs/CAASPP.accessibility-guide.2016-17.pdf>
- CAASPP Test Administrator and Test Examiner Resources for the Online Practice and Training Tests Web page—<http://www.caaspp.org/ta-resources/practice-training.html>
- *Practice and Training Test User Guide* Web document—http://www.smarterbalanced.org/wp-content/uploads/2015/09/PracticeAndTrainingTest_UserGuide.pdf

In preparation for the test and to expose students to the various online assessment item-response types in English language arts/literacy (ELA), mathematics, and science (see [Appendix B](#) for item types), it is **highly recommended** that all students access the practice and training tests. Each resource offers students a unique opportunity to experience a test in a manner similar to what they will see on the CAASPP assessments and prepares students for testing. These resources will not be scored; however, scoring guides are available on the [Test Administrator and Test Examiner Resources for the Online Practice and Training Tests](#) Web page.

Overview of the Practice Tests

Practice tests can be administered in one of two ways:

- Using the same procedures as the operational tests, with the Test Administrator Interface, secure browser, and individual student logon information.
- Using a standard supported Web browser to access the practice tests directly, without use of the Test Administrator Interface or secure browser.

There are no practice tests available for the California Science Test (CAST) during the 2016–17 CAASPP administration. There are no planned practice tests for the California Alternate Assessment (CAA) for Science, which is not an online assessment.

Smarter Balanced Practice Tests

The Smarter Balanced practice tests allow educators and students to experience a full grade-level test and gain insight into the Smarter Balanced assessments. The practice tests mirror the summative assessments but are not scored, although answer keys and scoring rubrics are available. Each grade-level test includes a variety of item response types and difficulty levels—approximately 30 items each in ELA and mathematics—as well as an ELA and mathematics performance task at each grade level (three through eight and eleven). The practice test also includes a comprehensive reflection of embedded universal tools, designated supports, and accommodations, which should also be provided to students with

any non-embedded universal tools, designated supports, and accommodations as allowed on the summative assessments.

California Alternate Assessment (CAA) for ELA and Mathematics Practice Tests

The CAA practice tests allow students and test examiners to become familiar with grade-level CAA test questions. The practice tests provide a sample of the question types and grade-level content that appear on the CAA operational tests. There is a grade-specific CAA practice test available for each tested grade (three through eight and grade eleven) and content area (ELA and mathematics).

Practice tests for ELA and mathematics can be accessed either through the secure browser or through a standard Internet browser.

Overview of the Training Tests

Smarter Balanced and CAST Training Tests

The training tests are designed to provide students and educators with an opportunity to quickly familiarize themselves with the software and navigational tools that they will use on the CAASPP assessments. The training tests are organized by grade bands (grades three through five, six through eight, and eleven). Each test contains fewer than 10 questions.

On the student Training Test Web site, the questions were selected to provide students with an opportunity to practice a range of item response types. The training tests do not contain performance tasks. Similar to the practice tests, the training tests include all embedded universal tools, designated supports, and accommodations. Note that the text-to-speech functionality is available *only* when using the training tests through the secure browser. The student training test site may be used by anyone. Students can log on as guests or use their first name and Statewide Student Identifier (SSID). Students who log on using their credentials may log on to a guest session or a proctored training session with a training session ID set up through the Tests Administrator Training Test Web site.

For the CAST, there is one training test that can be taken by students in all tested grades. It will be accessed in the same manner as described previously. The training test will be made available prior to the pilot.

CAAs for ELA and Mathematics

Two online training tests, one in ELA and one in mathematics, are available to provide a sample of question functionality and response types. The training tests are not grade-specific and not meant to be used to measure content knowledge.

Used in conjunction with the *CAA Training Test DFA*, these training tests can help both test examiners and students become familiar with the testing format, interface, functionalities, and accessibility resources available for the CAAs in order to establish the most optimal testing environment for students.

The training tests can also be used to help test examiners determine whether they will need to enter responses into the testing interface for the student on the operational tests.

Training tests for ELA and mathematics can be accessed either through the secure browser or through a standard Internet browser.

CAA for Science

For the CAA for Science, there will be a training sample available that can be administered one on one to students in all tested grades. Like the CAA for Pilot assessment, the training sample is an embedded performance task that is administered as part of classroom instruction and not in the online test delivery system.

The training sample will be available in a PDF on the [CAASPP Test Administrator and Test Examiner Resources for the Online Practice and Training Tests](#) Web page on the CAASPP Portal prior to the start of the pilot administration.

Test Administrator and Test Examiner Logon for the Practice and Training Tests

The Test Administrator Training Test site provides an opportunity for test administrators to practice setting up a test session using a practice test or training test. Access to the Test Administrator Training Test site requires the same logon credentials used to access the Test Administrator Interface. This site may only be used by authorized LEA CAASPP coordinators, CAASPP test site coordinators, test administrators, and test examiners. Note that braille practice tests and training tests can only be accessed through the Test Administrator Training site by the test administrator using a standard browser and can be accessed by a student also using a standard Web browser.

For additional information about how to set up a practice test or training test session, visit the [Test Administrator and Test Examiner Resources for the Online Practice and Training Tests](#) Web site.



Do NOT use the live (operational) student interface or Test Administrator Interface for practice. Doing so constitutes a test security incident (breach). For all Training Test sessions, use the Test Administrator Training site and the practice tests or training tests.

It is **highly recommended** that **all** students access the training tests before taking a summative assessment. The training tests will be especially beneficial for those students who have not previously participated in online testing. Teachers are encouraged to conduct a group walk-through of a practice or training test with their students to promote familiarity with the testing format and basic test rules and to allow for free and open communication on the testing process and/or content (see the [General Test Administration Information](#) subsection). This walk-through of the practice and training tests provide opportunities for test administrators to describe the limitations to the support they will be able to provide and the verbal interactions they can have with students during each live test session.

Appendix D: Secure Browser for Testing

Additional Resources:

- *Technical Specifications and Configuration Guide for CAASPP Online Testing* Web document—<http://www.caaspp.org/rsc/pdfs/CAASPP.tech-specs-and-config-guide.2016-17.pdf>
- *Security Incidents and Appeals Procedure Guide* Web document—<http://www.caaspp.org/rsc/pdfs/CAASPP.stairs-appeals-guide.2016-17.pdf>
- CAASPP Security and Test Administration and Incident Reporting System Web page—<http://www.caaspp.org/stairs/>
- Secure Browsers Web site—<http://ca.browsers.airast.org/>

Guidelines

While the secure browser version 9.x is an integral component of test security, test administrators and test examiners perform an equally important role in preserving test integrity. In addition to the guidelines put forth by the Smarter Balanced Assessment Consortium, the California Department of Education (CDE), and individual local educational agencies (LEAs) or schools, test administrators and test examiners should be aware of the following and employ the necessary precautions while administering online tests:

- **Close External User Applications.**

Prior to administering the online assessments, test administrators, test examiners, or other assigned staff should check all devices that will be used and close all applications except those identified as necessary by the school technology coordinator. After closing these applications, the test administrator or test examiner should open the secure browser on each device.

The secure browser and Student Interface automatically detect certain applications that are prohibited from running on a device while the secure browser is open. The secure browser will not allow a student to log on if the secure browser detects that a forbidden application is running on the device. A message will also display that lists the forbidden application(s) that needs to be closed.



If a forbidden application is launched in the background while the student is already in a test, the student will be logged off and a message—“A forbidden program has started, and you will be logged out. Ask your Test Administrator for assistance.”—will display. The student will have to close the forbidden application, reopen the secure browser, and log on to continue working on the assessment. This would be considered a test security incident and should be reported using the online *CAASPP Security and Test Administration Incident Reporting System (STAIRS)* form.

- **Avoid Testing on Devices with Dual Monitors.**

Systems that use a dual monitor setup typically display an application on one monitor screen while another application is accessible on the other screen.

Students should not take online assessments on devices that are connected to more than one monitor except in extremely rare circumstances such as when a test administrator or test examiner is administering a test via read-aloud and wants to have a duplicate screen to view exactly what the student is viewing for ease of reading aloud. In these rare cases where a dual monitor is allowed, Smarter Balanced requires that the monitors be set up to “mirror” each other. School technology coordinators can assist test administrators and test examiners in setting up the two monitors to ensure they mirror each other rather than operate as independent monitors.

In these cases, all security procedures must be followed and the test administered in a secure environment to prevent others from hearing the questions or viewing the student or test administrator/test examiner screens.

- **Disable Built-In Accessibility Features on iPads.**

iPads include a built-in “Speak Option” accessibility feature that reads aloud selected text. Guided Access mode does not automatically block this feature. Before entering Guided Access mode, you should verify that only allowable accessibility features are enabled. To manage accessibility features, tap *Settings* → *General* → *Accessibility*. For information about which accessibility features are allowable, see the [Technical Specifications and Configuration Guide for CAASPP Online Testing](#).

- **Disable Screen Savers and Timeout Features.**

On all testing devices, be sure to disable any features that display a screen saver or log users off after a period of inactivity. If such features activate while a student is testing, the secure browser logs the student off the test. The student must log on to the secure browser to resume testing.

Secure Browser Error Messages

Possible error messages displayed by the open-source secure browser are shown in Table 28.

Table 28. Secure Browser Error Messages

Message	Description
Secure Browser Not Detected	The system automatically detects whether a device is using the secure browser to access the online testing system. The system will not permit access to a test other than through the secure browser; under no condition may a student access a test using a nonsecure browser.
Unable to Establish a Connection with the Test Delivery System	If a device fails to establish a connection with the test delivery system, a message will be displayed like the one in Figure 53. This is most likely to occur if there is a network-related problem. The causes may include an unplugged network cable or a firewall not allowing access to the site.



Figure 53. Unable to Establish a Connection message

Force-Quit Commands for Secure Browsers

In the rare event that the secure browser or test becomes unresponsive and you cannot pause the test or close the secure browser, users have the ability to “force quit” the secure browser.

To force the secure browser to close, use the following keyboard commands. (Reminder: This will log the student off the test he or she is taking. When the secure browser is opened again, the student will have to log back on to resume testing.)



Warning: You are strongly advised against using the force-quit commands, as the secure browser treats this action as an abnormal termination. The secure browser hides features such as the Windows taskbar; if the secure browser is not closed correctly, then the taskbar may not reappear correctly.

These commands should be used only if the [**Close Secure Browser**] button does not work.



Note: The force-quit command will log the student off of the test he or she is taking. When the secure browser is opened again, the student will have to log on again to resume testing.

Force-quit Commands

Windows: [**Ctrl**] + [**Alt**] + [**Shift**] + [**F10**]

Mac OS X: [**Ctrl**] + [**Alt**] + [**Shift**] + [**F10**]

Linux: [**Ctrl**] + [**Alt**] + [**Shift**] + [**Esc**]

Note for Windows and Mac Users:

If you are using a laptop or netbook, you may also need to press the [**FN**] key before pressing [**F10**].

Appendix E: Computer Adaptive Test Pause Rule Scenarios

Pause rule scenarios apply to the Smarter Balanced summative assessments and the California Science Test (CAST).

Scenarios:

1. If the computer adaptive test (CAT) portion of the test or the CAST is paused for less than 20 minutes, the student can return to previous test pages and change the response to any item he or she has already answered within a segment.
2. If the CAT portion of the test or the CAST is paused for more than 20 minutes, the test will return the student to the last page with unanswered items when the student resumes testing. If a page has both completed items and items to which there is not a complete response, the student may change any answers on that page (i.e., screen). The student may not return to previous pages and cannot change answers to items on previous pages.
 - a. Example: A single test page has items 4–10. A student answers items 4–7 and pauses the test for more than 20 minutes. When the student resumes testing, he or she can change answers to items 4–10 but cannot return to items 1–3 on an earlier page.
 - b. Example: A page contains items 9–11 and a student answers all of those items before pausing the test for more than 20 minutes. When the student resumes testing, he or she will begin on item 12 and cannot return to items 1–11.
 - c. Example: Prior to a pause, a student navigates back to a previous item and deletes his or her answer. The system is then paused while that student is on that particular item. After a pause greater than 20 minutes, the system is designed to place the student on the last unanswered question. In this case, an item to which the student had responded previously is now blank. When the student answers that item and selects **[Next]**, the test delivery system proceeds to the next *unanswered* item, skipping items, as those items would have been received a response previously.

Appendix F: Multiplication Table

A single-digit (1–9) multiplication table is a non-embedded accommodation for **grades four and above** mathematics items. The multiplication table is to be used *only* for students with a documented and persistent calculation disability (i.e., dyscalculia). This table can be printed and/or enlarged for students with an individualized education program or Section 504 plan requiring this accommodation. It is also available as a PDF on the Student Accessibility Resources and Test Settings Web page on the CAASPP Portal at <http://www.caaspp.org/administration/accessibility/>. Use of other multiplication tables is prohibited.

MULTIPLICATION TABLE									
x	1	2	3	4	5	6	7	8	9
1	1	2	3	4	5	6	7	8	9
2	2	4	6	8	10	12	14	16	18
3	3	6	9	12	15	18	21	24	27
4	4	8	12	16	20	24	28	32	36
5	5	10	15	20	25	30	35	40	45
6	6	12	18	24	30	36	42	48	54
7	7	14	21	28	35	42	49	56	63
8	8	16	24	32	40	48	56	64	72
9	9	18	27	36	45	54	63	72	81

Appendix G: 100s Number Table

A table listing numbers from 1–100 is a non-embedded accommodation for grades four and above mathematics items. The 100s Number Table is to be used *only* for students with visual processing or spatial perception needs as documented in their individualized education program or Section 504 plan. This table can be printed for students requiring this accommodation; it is available as a PDF on the Student Accessibility Resources and Test Settings Web page on the CAASPP Portal at <http://www.caaspp.org/administration/accessibility/>. Use of other 100s number tables is prohibited.

100s Number Table

1	2	3	4	5	6	7	8	9	10
11	12	13	14	15	16	17	18	19	20
21	22	23	24	25	26	27	28	29	30
31	32	33	34	35	36	37	38	39	40
41	42	43	44	45	46	47	48	49	50
51	52	53	54	55	56	57	58	59	60
61	62	63	64	65	66	67	68	69	70
71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90
91	92	93	94	95	96	97	98	99	100

Appendix H: LEA CAASPP Coordinator Checklist

LEA CAASPP Coordinator Activities		Estimated Time to Complete	Target Completion Date	Notes/Resources
Direct Responsibilities				
<input type="checkbox"/>	1. Add users to the Test Operations Management System (TOMS).		As soon as possible to provide the option to administer interims assessments, if applicable.	<i>TOMS Pre-Administration Guide for CAASPP Testing</i> , Chapter 1: Adding and Managing Users— http://www.caaspp.org/rsc/pdfs/CAASPP.TOMS-pre-admin-guide.2016-17.Chapter-1.pdf
<input type="checkbox"/>	2. Set up test administration windows for the local educational agency (LEA) and assign schools to it.		Complete by December 1, 2016.	<i>TOMS Pre-Administration Guide for CAASPP Testing</i> , Chapter 1: Adding and Managing Users— http://www.caaspp.org/rsc/pdfs/CAASPP.TOMS-pre-admin-guide.2016-17.Chapter-1.pdf
<input type="checkbox"/>	3. Set up test assignments in TOMS.		Complete at least by the start of the selected testing window.	<i>TOMS Pre-Administration Guide for CAASPP Testing</i> , Chapter 4: Student Test Assignment— http://www.caaspp.org/rsc/pdfs/CAASPP.TOMS-pre-admin-guide.2016-17.Chapter-4.pdf
<input type="checkbox"/>	4. Notify schools when training materials are available.		Complete at least 5–6 weeks prior to testing.	<i>Online Test Administration Manual</i> , Chapter 2 CAASPP Training Videos and Webcasts Web page— http://www.caaspp.org/training/caaspp/
<input type="checkbox"/>	5. Notify schools when administration manuals are available.		Complete at least 5–6 weeks prior to testing.	<i>Online Test Administration Manual</i> , Chapter 2 CAASPP Manuals and Instructions Web page— http://www.caaspp.org/administration/instructions/
<input type="checkbox"/>	6. Download and communicate California Science Test (CAST) and California Alternate Assessment (CAA) for Science grade assignments with high school test sites.	1 hour	Complete at least 5–6 weeks prior to testing.	CAASPP Portal High School Grade Assignments for Science Tests Web page— http://www.caaspp.org/administration/about/science/science-assignments.html
<input type="checkbox"/>	7. Review all Smarter Balanced policy and summative test administration documents.	3 to 5 hours	Complete at least 5–6 weeks prior to testing.	<i>Online Test Administration Manual</i> , Chapter 2
<input type="checkbox"/>	8. Review scheduling and testing requirements with California Assessment of Student Performance and Progress (CAASPP) test site coordinators.	60–90 minutes	Complete at least 3–4 weeks prior to testing.	<i>Online Test Administration Manual</i> , Chapter 4

	LEA CAASPP Coordinator Activities	Estimated Time to Complete	Target Completion Date	Notes/Resources
<input type="checkbox"/>	9. Plan any state-required training for CAASPP test site coordinators and test administrators; retrain as needed.		Complete at least 3 weeks prior to testing.	None
<input type="checkbox"/>	10. Download and communicate California Alternate Assessment (CAA) version assignments with the test sites.	1 hour	Complete at least 2–3 weeks prior to testing.	CAASPP CAA Version Assignments Web page— http://www.caaspp.org/administration/about/caa/caa-assignments.2016-17.html
<input type="checkbox"/>	11. Review training modules and schedule training session for CAASPP test site coordinators.	2–3 hours	Complete while spaced over 2–3 weeks prior to testing.	<i>Online Test Administration Manual</i> , Chapter 2 CAASPP Training Videos and Webcasts— http://www.caaspp.org/training/caaspp/
<input type="checkbox"/>	12. Review security procedures with CAASPP test site coordinators, test administrators, and test examiners.		Complete at least 2–3 weeks prior to testing.	<i>Online Test Administration Manual</i> , Chapter 3
<input type="checkbox"/>	13. Work with LEA technology coordinators to ensure timely device setup. <ul style="list-style-type: none"> • Conduct network diagnostics. • Download the secure browser (beginning late November or early December). • Verify that schools meet the minimum technology requirements. 	5–10 hours	Complete at least 2 weeks prior to testing.	<i>Technical Specifications and Configuration Guide for CAASPP Online Testing</i> — http://www.caaspp.org/rsc/pdfs/CAASPP.tech-specs-and-config-guide.2016-17.pdf
<input type="checkbox"/>	14. Work with schools to review and verify California Longitudinal Pupil Achievement Data System (CALPADS) student demographic data in TOMS.	2–4 hours	Complete at least 2 weeks before testing and during testing.	<i>TOMS Pre-Administration Guide for CAASPP Testing</i> Chapter 5: Student Test Settings— http://www.caaspp.org/rsc/pdfs/CAASPP.TOMS-pre-admin-guide.2016-17.Chapter-5.pdf
<input type="checkbox"/>	15. Perform an equipment needs assessment based on individual student requirements. <ul style="list-style-type: none"> • Work with the CAASPP test site coordinator to identify students who will need specialized equipment for accommodations. • Communicate with the CAASPP test site coordinators, test administrators, and test examiners to identify the 	1–2 hours	Complete at least 2 weeks prior to testing.	None. Students are permitted and encouraged to use their own ear buds or headsets—but LEAs and schools should also plan on having some available.

LEA CAASPP Coordinator Activities	Estimated Time to Complete	Target Completion Date	Notes/Resources
<p>number of headsets needed in order to take the English language arts/literacy (ELA) Listening portions of the assessment. Place order for the number of headsets needed plus extra.</p>			
<input type="checkbox"/> 16. Instruct CAASPP test site coordinators to download (or have test examiners download) the correct version of the secure <i>CAA Directions for Administration (DFAs)</i> from TOMS for each grade being tested at the school.	30 minutes per manual	1–2 weeks per testing. Note that these files will not be available until March 20, 2017.	TOMS— https://caaspp.ets.org/ CAA Version Assignments for 2016–17 Test Administration— http://www.caaspp.org/administration/about/caa/caa-assignments.2016-17.html
<input type="checkbox"/> 17. Instruct CAASPP test site coordinators to download (or have test examiners download) the correct version of the CAA for Science embedded performance tasks (PTs) for each grade being tested at the school.	15 minutes per task	1–2 weeks per testing. Note that these files will not be available until March 20, 2017.	TOMS— https://caaspp.ets.org/ High School Grade Assignments for 2016–17 Science Pilot Testing— http://www.caaspp.org/administration/about/science/science-assignments.html
<input type="checkbox"/> 18. Enter condition codes NTE (Not tested medical emergency) and PGE (Parent/Guardian exemption) in TOMS as necessary.		Ongoing. It is recommended that you submit condition codes before a student has completed testing.	Instructions for Using Condition Codes in TOMS Web page— http://www.caaspp.org/administration/toms/condition-codes.html
<input type="checkbox"/> 19. Collect completed CAA for Science embedded PTs from test site coordinators and store them securely for one year.		The end of the selected testing window.	None
Monitor Administration Activities			
<input type="checkbox"/> 20. Investigate all summative testing improprieties, irregularities, breaches, and incidents reported by test administrators and test examiners. <ul style="list-style-type: none"> • Submit an incident report following the Security of Test Administration Incident Reporting System process (STAIRS). • File an appeal in TOMS if directed to do so after following the STAIRS process. 	As needed	Ongoing. Deadline for all submissions will be one week after the selected testing window closes.	<i>Online Test Administration Manual, Chapter 3</i> <i>Security Incidents and Appeals Procedure Guide</i> — http://www.caaspp.org/rsc/pdfs/CAASPP.stairs-appeals-guide.2016-17.pdf CAASPP STAIRS Process for Summative Assessments Web page— http://www.caaspp.org/stairs/

	LEA CAASPP Coordinator Activities	Estimated Time to Complete	Target Completion Date	Notes/Resources
<input type="checkbox"/>	21. Monitor with the LEA technology coordinator any technical problems to apply resolutions or disseminate information at other school sites prior to testing.		Ongoing throughout the testing window.	None.
Oversight Responsibilities				
<input type="checkbox"/>	22. Ensure that CAASPP test site coordinators, test administrators, and test examiners in the LEA are appropriately trained and aware of policies and procedures, especially related to security.		Complete at least 3 weeks prior to testing.	<i>Online Test Administration Manual, Chapter 3</i>
<input type="checkbox"/>	23. Review school test administration schedules for adequate time and resource planning.		Complete at least 3 weeks prior to testing.	<i>Online Test Administration Manual, Chapter 4</i>
<input type="checkbox"/>	24. Verify that CAASPP test site coordinators, test administrators, and test examiners have reviewed student information in TOMS and are verifying student settings for designated supports and accommodations in TOMS.		Complete at least 2 weeks prior to testing.	<i>TOMS Pre-Administration Guide for CAASPP Testing Chapter 5: Student Test Settings— http://www.caaspp.org/rsc/pdfs/CAASPP.TOMS-pre-admin-guide.2016-17.Chapter-5.pdf</i>
<input type="checkbox"/>	25. You or your designee must be available during your testing window for questions and problem solving.		Complete during your entire testing window.	None.
<input type="checkbox"/>	26. Communicate regularly with CAASPP test site coordinators any emerging trends or issues.		Complete during your entire testing window.	None.

Contact Information	
Questions about state policies	Questions about technology and the overall administration procedures
<p>California Department of Education Accommodations, Test Policy, Testing Incidents</p> <p>Name: CAASPP Office Phone: 916-445-8765 E-mail: caaspp@cde.ca.gov</p> <p>California Department of Education Security Breach or Testing Irregularity)</p> <p>Name: CAASPP Office Fax: 916-319-0969 E-mail: STAIRS@cde.ca.gov</p>	<p>California Technical Assistance Center 800-955-2954 CalTAC@ets.org http://www.caaspp.org/administration/instructions/ Hours of operation: Monday through Friday, 7 a.m. to 5 p.m. Pacific time</p>

Appendix I: CAASPP Test Site Coordinator Checklist

CAASPP Test Site Coordinator Activities	Estimated Time to Complete	Target Completion Date	Notes/Resources
Direct Responsibilities			
<input type="checkbox"/> 1. Add local users to the Test Operations Management System (TOMS).		As soon as possible to provide the option to administer interims assessments, if applicable.	<i>TOMS Pre-Administration Guide for CAASPP Testing</i> , Chapter 1: Adding and Managing Users— http://www.caaspp.org/rsc/pdfs/CAASPP.TOMS-pre-admin-guide.2016-17.Chapter-1.pdf
<input type="checkbox"/> 2. Communicate California Science Test (CAST) and California Alternate Assessment (CAA) for Science grade assignments with high school test sites.	1 hour	Complete at least 5–6 weeks prior to testing.	CAASPP Portal High School Grade Assignments for Science Tests Web page— http://www.caaspp.org/administration/about/science/science-assignments.html
<input type="checkbox"/> 3. Attend trainings that your local educational agency (LEA) offers and review all Smarter Balanced policy and test administration documents.	2 to 4 hours	Complete at least 3 weeks prior to testing.	<i>Online Test Administration Manual</i> , Chapter 2 CAASPP Training Videos and Webcasts Web page— http://www.caaspp.org/training/caaspp/
<input type="checkbox"/> 4. Ensure that all test administrators and test examiners attend your school's or LEA's training and review the self-paced online training modules posted on the Smarter Balanced portal. Review with test administrator the videos (“What is a Computer Adaptive Test?” and “Embedded Universal Tools and Online Features”) and encourage them to show these to their students in preparation for the test.	2–3 hours	Complete at least 3 weeks prior to testing.	<i>Online Test Administration Manual</i> , Chapter 2 CAASPP Training Videos and Webcasts Web page— http://www.caaspp.org/training/caaspp/

	CAASPP Test Site Coordinator Activities	Estimated Time to Complete	Target Completion Date	Notes/Resources
<input type="checkbox"/>	<p>5. Work with technology personnel to ensure timely device setup:</p> <ul style="list-style-type: none"> • Conduct network diagnostics. • Download the secure browser. • Verify that your school has met the minimum technology requirements. • Ensure that other technical issues are resolved before and during testing. 	5–10 hours	Complete at least 3–4 weeks prior to testing.	<p><i>Technical Specifications and Configuration Guide for CAASPP Online Testing</i>— http://www.caaspp.org/rsc/pdfs/CAASPP.tech-specs-and-config-guide.2016-17.pdf</p>
<input type="checkbox"/>	<p>6. Communicate with the test administrators and test examiners to identify the number of headsets needed for each testing site and ensure that the needed number are available at least two weeks prior to the testing window.</p> <ul style="list-style-type: none"> • Headsets are required for the English language arts/literacy (ELA) Listening portions of the assessment, for students who require text-to-speech, or for students requiring audio glossaries (mathematics only). • Communicate with the LEA CAASPP coordinator to identify the number of headsets needed. 	Up to 1 hour	Complete at least 2 weeks prior to testing.	<p><i>None.</i></p> <p>Order the number needed plus extra.</p> <p>Students are permitted and encouraged to use their own ear buds or headsets—but districts and schools should also plan on having some available.</p>
<input type="checkbox"/>	<p>7. Perform an equipment needs check based on individual student requirements.</p> <ul style="list-style-type: none"> • Work with test administrators and test examiners to identify students who will need specialized equipment for accommodations. 	1–2 hours	Complete at least 2 weeks prior to testing.	<i>None.</i>
<input type="checkbox"/>	<p>8. Based on the test administration windows, work with test administrators, test examiners, and LEA CAASPP coordinator to establish a testing schedule.</p>	2–4 hours	Complete at least 1–2 weeks prior to testing.	<p><i>Online Test Administration Manual, Chapter 4</i></p>

	CAASPP Test Site Coordinator Activities	Estimated Time to Complete	Target Completion Date	Notes/Resources
<input type="checkbox"/>	9. Work with test administrators and test examiners to review student information in TOMS before students are tested to ensure that correct student information and test settings for designated supports and accommodations are applied.	2–4 hours	Complete at least 2 weeks prior to testing.	TOMS <i>Pre-Administration Guide for CAASPP Testing</i> Chapter 5: Student Test Settings— http://www.caaspp.org/rsc/pdfs/CAASPP.TOMS-pre-admin-guide.2016-17.Chapter-5.pdf
<input type="checkbox"/>	10. Establish a place to test those students who need a separate test setting.	1–2 hours	Complete at least 1–2 weeks prior to testing.	<i>Usability, Accessibility, and Accommodations Guidelines</i> —linked on the California Department of Education Student Accessibility Supports Web page at http://www.cde.ca.gov/ta/tg/ca/accesssupport.asp
<input type="checkbox"/>	11. Download (or have test examiners download) the correct version of the secure <i>CAA Directions for Administration (DFAs)</i> from TOMS for each grade being tested at the school.	30 minutes per manual	1–2 weeks prior to testing. Note that these files will not be available until March 20, 2017.	TOMS— https://caaspp.ets.org/ CAA Version Assignments for 2016–17 Test Administration— http://www.caaspp.org/administration/about/caa/caa-assignments.2016-17.html
<input type="checkbox"/>	12. Instruct CAASPP test site coordinators to download (or have test examiners download) the correct version of the CAA for Science embedded performance tasks for each grade being tested at the school.	15 minutes per task	1–2 weeks prior to testing. Note that these files will not be available until March 20, 2017.	TOMS— https://caaspp.ets.org/ High School Grade Assignments for 2016–17 Science Pilot Testing— http://www.caaspp.org/administration/about/science/science-assignments.html
<input type="checkbox"/>	13. Work with CAA for Science test examiners and the LEA CAASPP coordinators to develop a process for sending completed materials from CAA for Science embedded performance tasks (PTs) to the LEA CAASPP coordinator for secure storage.	15 minutes	1–2 weeks prior to testing.	<i>None</i>
<input type="checkbox"/>	14. Ensure proper handling of all printed test materials and scratch paper. Collect all test materials on each day of testing to keep in a secure location until after the test session, and then destroy according to the security policy outlined in the <i>Online Test Administration Manual</i> .		Complete during and after testing window.	<i>Online Test Administration Manual</i> , Chapter 3

CAASPP Test Site Coordinator Activities	Estimated Time to Complete	Target Completion Date	Notes/Resources
<input type="checkbox"/> 15. Ensure adherence to all security policies. <ul style="list-style-type: none"> • Read and sign the <i>California Assessment of Student Performance and Progress (CAASPP) Test Security Agreement</i> and submit it to your LEA CAASPP coordinator. • Ensure that all test administrators and test examiners read and sign <i>CAASPP Test Security Affidavits</i>. 			<i>Online Test Administration Manual, Chapter 3</i> CAASPP Forms Web page— http://www.caaspp.org/administration/forms/
<input type="checkbox"/> 16. Document any summative testing impropriety, irregularity, or breach and report to the LEA CAASPP coordinator immediately after learning of the incident. <ul style="list-style-type: none"> • Submit an incident report following the Security of Test Administration Incident Reporting System process (STAIRS) described in section 4.0. • File an appeal in TOMS if directed to do so after following the STAIRS process. 	As needed	Ongoing	<i>Online Test Administration Manual, Chapter 3</i> <i>Security Incidents and Appeals Procedure Guide</i> — http://www.caaspp.org/rsc/pdfs/CAASPP.stairs-appeals-guide.2016-17.pdf CAASPP STAIRS Process for Summative Assessments Web page— http://www.caaspp.org/stairs/
<input type="checkbox"/> 17. Enter condition codes NTE (Not tested medical emergency) and PGE (Parent/Guardian exemption) in TOMS as necessary.		Ongoing. It is recommended that you submit condition codes before a student has completed testing.	Instructions for Using Condition Codes in TOMS Web page— http://www.caaspp.org/administration/toms/condition-codes.html
<input type="checkbox"/> 18. Collect completed CAA for Science embedded performance task materials from test examiners and send them to the LEA CAASPP coordinator for secure storage.		After CAA for Science testing is complete.	None
Monitor Administration Activities			
<input type="checkbox"/> 19. Monitor testing progress during the testing window and ensure that all students participate as appropriate, addressing student issues as needed.	As needed	Ongoing	None.
<input type="checkbox"/> 20. Raise any technical issues with the school technology coordinator for resolution.	As needed	Ongoing	None.

CAASPP Test Site Coordinator Activities	Estimated Time to Complete	Target Completion Date	Notes/Resources
<input type="checkbox"/> 21. Review, investigate, and report on all potential testing improprieties, irregularities, and breaches reported by test administrators and test examiners. Mitigate incidents when appropriate.	As needed	Ongoing	<p><i>Online Test Administration Manual, Chapter 3</i></p> <p><i>Security Incidents and Appeals Procedure Guide—</i> http://www.caaspp.org/rsc/pdfs/CAASPP.stairs-appeals-guide.2016-17.pdf</p> <p>CAASPP STAIRS Process for Summative Assessments Web page— http://www.caaspp.org/stairs/</p>
Oversight Responsibilities			
<input type="checkbox"/> 22. Verify that test administrators and test examiners have reviewed student information in TOMS and are verifying student settings for designated supports and accommodations in TOMS.		Complete at least 1–2 weeks prior to testing.	<p><i>TOMS Pre-Administration Guide for CAASPP Testing Chapter 5: Student Test Settings—</i> http://www.caaspp.org/rsc/pdfs/CAASPP.TOMS-pre-admin-guide.2016-17.Chapter-5.pdf</p>
<input type="checkbox"/> 23. Assist test administrators and test examiners in taking proper measures to ensure that students have access only to allowable non-embedded resources during testing.		Complete prior to testing.	None.
<input type="checkbox"/> 24. Verify that test administrators and test examiners are adhering to all test security policies and practices.		Complete during testing.	<i>Online Test Administration Manual, Chapter 3</i>

Contact Information	
Questions about the overall administration and state policies	Questions about technology and the overall administration procedures
<p>California Department of Education</p> <p>Accommodations, Test Policy, Testing Irregularities</p> <p>Name: CAASPP Office</p> <p>Phone: 916-445-8765</p> <p>E-mail: caaspp@cde.ca.gov</p>	<p>School Technology Coordinator</p> <p>Name: _____</p> <p>Phone: _____</p> <p>E-mail: _____</p> <p>LEA CAASPP Coordinator</p> <p>Name: _____</p> <p>Phone: _____</p> <p>E-mail: _____</p> <p>http://www.caaspp.org/administration/instructions/</p>

Appendix J: Test Administrator Checklist

	Test Administrator Activities	Estimated Time to Complete	Target Completion Date	Notes/Resources
<input type="checkbox"/>	1. Confirm that you have received your Test Operations Management (TOMS) logon information. You should have received an automated e-mail from TOMS notifying you of how to log on. You will also use this username and password for the online testing system.		Complete at least 1–2 weeks prior to testing.	If you have not received this information, please check your spam/junk e-mail folder to see if it was mistakenly routed there. If not, check with your CAASPP test site coordinator. <i>TOMS Pre-Administration Guide for CAASPP Testing</i> Chapter 2: Passwords, Access, and Logons— http://www.caaspp.org/rsc/pdfs/CAASPP.TOMS-pre-admin-guide.2016-17.Chapter-2.pdf
<input type="checkbox"/>	2. Provide students with a walk-through of the training test and/or practice test for familiarity with navigation of the system and tools.	1 hour	Complete multiple opportunities prior to testing.	<i>Online Test Administration Manual</i> , Appendix C
<input type="checkbox"/>	3. Review all California Assessment of Student Performance and Progress (CAASPP) policy and test administration documents.	1–2 hours	Complete at least 2–3 weeks prior to testing.	<i>Online Test Administration Manual</i>
<input type="checkbox"/>	4. Review the training modules and attend school or district training sessions, if any are offered.	2–3 hours	Complete at least 2–3 weeks prior to testing.	<i>Online Test Administration Manual</i> , Chapter 2 CAASPP Training Videos and Webcasts— http://www.caaspp.org/training/caaspp/
<input type="checkbox"/>	5. Show students the videos “What is a Computer Adaptive Test?” and “Embedded Universal Tools and Online Features.”	1 hour	Complete while spaced over 2–3 weeks prior to testing.	2015–16 Archived Webcasts and Training Videos Web page— http://www.caaspp.org/training/archive/archive2015-16.html
<input type="checkbox"/>	6. Perform an equipment needs check based on individual student requirements. <ul style="list-style-type: none"> • Work with the CAASPP test site coordinator to identify students who will need specialized equipment for accommodations. 	1–2 hours	Complete at least 2 weeks prior to testing.	<i>None.</i> Students are permitted and encouraged to use their own ear buds or headsets—but local educational agencies (LEAs) and schools should also plan on having some available.

	Test Administrator Activities	Estimated Time to Complete	Target Completion Date	Notes/Resources
<input type="checkbox"/>	<p>7. Work with the CAASPP test site coordinator to determine precise testing schedules based on the test administration windows selected by the school schedule.</p> <ul style="list-style-type: none"> • Make sure your students' test administration schedule includes allowable breaks. 		Complete at least 1–2 weeks prior to testing.	<i>Online Test Administration Manual, Chapter 4</i>
<input type="checkbox"/>	8. Work with your CAASPP test site coordinator to ensure that each student has a Statewide Student Identifier (SSID) and is registered for an appropriate paper-pencil test as necessary.	2–4 hours	Complete at least 1–2 weeks prior to testing.	<i>None.</i>
<input type="checkbox"/>	9. Create logon tickets for students that include their legal first name and their SSID. (It is also permissible to include a photo of the student or including the student's last name with the logon information as additional safeguards to ensure that the students receive the correct logon information.)	2–4 hours	Complete at least 1–2 weeks prior to testing.	<i>Online Test Administration Manual, Chapter 6</i>
<input type="checkbox"/>	10. Confirm each student's test settings for designated supports and accommodations in TOMS against his or her individualized education program, Section 504 plan, or other relevant documentation as appropriate.	2–4 hours	Complete at least 1–2 weeks prior to testing.	<i>TOMS Pre-Administration Guide for CAASPP Testing Chapter 5: Student Test Settings— http://www.caaspp.org/rsc/pdfs/CAASPP.TOMS-pre-admin-guide.2016-17.Chapter-5.pdf</i>
<input type="checkbox"/>	11. Ensure that the secure browser has been downloaded to any device(s) on which students will be testing.	1–2 hours	Complete at least 1–2 weeks prior to testing <i>and again</i> the day before testing or morning of testing.	<p>Check with your CAASPP test site coordinator or school technology coordinator if the [Secure Browser] icon is missing.</p> <p><i>Technical Specifications and Configuration Guide for CAASPP Online Testing, Chapter 4: Secure Browser Configuration— http://www.caaspp.org/rsc/pdfs/CAASPP.tech-specs-and-config-guide.2016-17.Chapter-4.pdf</i></p>

Test Administrator Activities	Estimated Time to Complete	Target Completion Date	Notes/Resources
<input type="checkbox"/> 12. Communicate to students the need for headsets in order to take the English language arts/literacy (ELA) Listening portions of the assessment. <ol style="list-style-type: none"> Identify any students who may not have their own headsets and make arrangements with the school to have headsets available for those students. Counts should be determined prior to testing. Also have extra headsets on hand for students who may forget to bring theirs. Send reminders to students and parents several days before and the day prior to testing to remind parents that students may bring their own headsets but that headsets will be provided to students without headsets on the day of testing. 		<p>Make a count of needed headsets (vs students who have their own) at least 3 weeks prior to testing and tell your CAASPP test site coordinator the number needed.</p> <p>Three days before testing, remind students to have their headsets available the day of tests.</p> <p>One day before testing, remind students to bring their headsets.</p>	<p><i>None.</i></p> <p>Administration of the ELA assessment will contain a listening portion. Students will need ear buds or headsets. Make sure your school has extras available for students who may need them on the day of testing.</p> <p>Have extra headsets on hand in case students forget.</p>
<input type="checkbox"/> 13. All assessments will have CAT items plus a performance task (PT).	60 minutes planning the day prior to administering the PT	Complete the week of testing. Administration of the CAT items plus a PT will require advance preparations.	<i>Online Test Administration Manual, Chapter 6</i>
<input type="checkbox"/> 14. Plan a quiet activity for each test session for students who finish early.	15–30 minutes	Complete the week of testing.	<p><i>None.</i></p> <p>The activity should not be related to the test being given. For example, students who finish early may work on assignments for unrelated subjects or read a book.</p>
<input type="checkbox"/> 15. Prior to administration, check all devices that will be used and close all applications except those identified as necessary by the school's technology coordinator or the student's individualized education program (IEP) or Section 504 plan. <ul style="list-style-type: none"> Make sure that no device has dual monitors except where required in an IEP or Section 504 plan. 	1–2 hours	Complete the morning of testing.	<p><i>None.</i></p> <p>The test administrator or test examiner should open the secure browser on each device after closing any unnecessary applications.</p>

Test Administrator Activities	Estimated Time to Complete	Target Completion Date	Notes/Resources
<ul style="list-style-type: none"> • Work with your CAASPP test site coordinator to set system volume prior to students launching the secure browser to ensure students can hear the audio portions of the ELA segment. 			
<input type="checkbox"/> 16. Review all guidelines for creating a secure test environment. <ul style="list-style-type: none"> • Review all security procedures and guidelines in the <i>Online Test Administration Manual</i>. • Carefully read and sign the test security affidavit. 		Prior to and during day(s) of testing.	<i>Online Test Administration Manual</i> , Chapter 3 <i>CAASPP Test Security Affidavit</i> — http://www.caaspp.org/rsc/pdfs/CAASPP.Security.Affidavit.2017.pdf
<input type="checkbox"/> 17. Make sure the physical conditions of the testing room are satisfactory. <ul style="list-style-type: none"> • Make sure that no instructional materials directly related to the content of the assessments are visible. • Students should be seated so there is enough space between them, or provide desktop partitions to minimize opportunities to look at each other's screen. • Actively monitor students throughout the test sessions. • Students who are not being tested may not be in the room where a test is being administered. 		Complete the day(s) of testing.	<ul style="list-style-type: none"> • Make sure students clear their desks and put away all books, backpacks, purses, and other materials not needed for the test. • Make sure that cell phones, electronic devices of any kind, and other materials not needed for the test are not present in the testing room unless required by a student's IEP or Section 504 plan. • Ensure that students do not access unauthorized electronic devices (such as cell phones) at any time during testing. <i>Online Test Administration Manual</i> , Chapter 3
<input type="checkbox"/> 18. On the day of testing, verify that the students have their logon information (first name, SSID, and session ID). Logon tickets are secure testing material until testing is completed; these should be securely destroyed after testing.		Complete the day(s) of testing.	<i>Online Test Administration Manual</i> , Chapter 6
<input type="checkbox"/> 19. Administer the Smarter Balanced assessments, following the script and directions for administration. Provide any necessary non-embedded designated supports and accommodations.		Complete the day(s) of testing.	Provide students with scratch paper for all test sessions. Also provide students in grades 6 and above graph paper for the mathematics assessments. <i>Online Test Administration Manual</i> , Chapter 6

	Test Administrator Activities	Estimated Time to Complete	Target Completion Date	Notes/Resources
<input type="checkbox"/>	20. Report any summative testing improprieties, irregularities, and breaches to the CAASPP test site coordinator and LEA CAASPP coordinator in writing immediately following an impropriety, irregularity, or breach. The coordinator will report the incident using the online <i>CAASPP Security and Test Incident Reporting System</i> form to submit a report and may also call the California Department of Education immediately, when appropriate.		Complete as soon as possible during or immediately following testing.	<i>Online Test Administration Manual, Chapter 3</i>
<input type="checkbox"/>	21. Securely dispose of all printed testing materials, including student logon information, print-on-demand documents, and scratch paper.		Complete after testing.	<i>Online Test Administration Manual, Chapter 3</i>

Contact Information	
Questions about the overall administration and state policies	Questions about technology and the overall administration procedures
<p>California Department of Education Accommodations, Test Policy, Testing Irregularities</p> <p>Name: CAASPP Office Phone: 916-445-8765 E-mail: caaspp@cde.ca.gov</p>	<p>School Technology Coordinator</p> <p>Name: _____ Phone: _____ E-mail: _____</p> <p>CAASPP Test Site Coordinator</p> <p>Name: _____ Phone: _____ E-mail: _____</p> <p>LEA CAASPP Coordinator</p> <p>Name: _____ Phone: _____ E-mail: _____</p>

Appendix K: Test Examiner Checklists

California Alternate Assessments (CAAs) for English Language Arts/Literacy and Mathematics

	Test Examiner Activities (ELA and Mathematics)	Estimated Time to Complete	Target Completion Date	Notes/Resources
<input type="checkbox"/>	1. Confirm that you have received your Test Operations Management (TOMS) logon information. You should have received an automated e-mail from TOMS notifying you of how to log on. You will also use this username and password for the online testing system.		Complete at least 1–2 weeks prior to testing.	If you have not received this information, please check your spam/junk e-mail folder to see if it was mistakenly routed there. If not, check with your CAASPP test site coordinator. <i>TOMS Pre-Administration Guide for CAASPP Testing</i> Chapter 2: Passwords, Access, and Logons— http://www.caaspp.org/rsc/pdfs/CAASPP.TOMS-pre-admin-guide.2016-17.Chapter-2.pdf
<input type="checkbox"/>	2. View the CAA Test Administration Tutorial and present the resulting completion certificate to your California Assessment of Student Performance and Progress (CAASPP) test site coordinator or local educational agency (LEA) CAASPP coordinator and/or attend a locally provided training.	2–3 hours	Complete at least 2–3 weeks prior to testing.	<i>Online Test Administration Manual, Chapter 2</i> CAAs— http://www.caaspp.org/administration/about/caa/
<input type="checkbox"/>	3. Review all CAASPP policy and test administration documents.	1–2 hours	Complete at least 2–3 weeks prior to testing.	<i>Online Test Administration Manual</i>
<input type="checkbox"/>	4. Provide students with a walk-through of the training test and/or practice test for English language arts/literacy and mathematics for familiarity with navigation of the system and tools.	1 hour	Complete multiple opportunities prior to testing.	<i>Online Test Administration Manual, Appendix C</i>
<input type="checkbox"/>	5. Perform an equipment needs check based on individual student requirements. <ul style="list-style-type: none"> • Work with the CAASPP test site coordinator to identify students who will need specialized equipment for accommodations. 	1–2 hours	Complete at least 2 weeks prior to testing.	<i>None.</i> Students are permitted and encouraged to use their own ear buds or headsets—but local educational agencies (LEAs) and schools should also plan on having some available.

	Test Examiner Activities (ELA and Mathematics)	Estimated Time to Complete	Target Completion Date	Notes/Resources
<input type="checkbox"/>	6. Work with the CAASPP test site coordinator to determine precise testing schedules based on the test administration windows selected by the school schedule. <ul style="list-style-type: none"> • Make sure your students' test administration schedule includes allowable breaks. 		Complete at least 1–2 weeks prior to testing.	<i>Online Test Administration Manual, Chapter 4</i>
<input type="checkbox"/>	7. Work with your CAASPP test site coordinator to ensure that each student to whom you are administering the CAAs has a Statewide Student Identifier (SSID).	2–4 hours	Complete at least 1–2 weeks prior to testing.	<i>None.</i>
<input type="checkbox"/>	8. Work with your CAASPP test site coordinator to obtain the correct version of the secure <i>CAA Directions for Administration (DFAs)</i> from TOMS for each grade you are administering.	15 minutes	1–2 weeks per testing. Note that these files will not be available until March 20, 2017.	TOMS— https://caaspp.ets.org/ CAA Version Assignments for 2016–17 Test Administration— http://www.caaspp.org/administration/about/caa/caa-assignments.2016-17.html
<input type="checkbox"/>	9. Carefully review the <i>DFA(s)</i> for each grade you are administering.	1–2 hours	Complete prior to administering the online assessments.	<i>Downloaded DFA(s)</i>
<input type="checkbox"/>	10. Confirm each student's test settings for designated supports and accommodations in TOMS against his or her individualized education program, Section 504 plan, or other relevant documentation as appropriate.	2–4 hours	Complete at least 1–2 weeks prior to testing.	<i>TOMS Pre-Administration Guide for CAASPP Testing Chapter 5: Student Test Settings—</i> http://www.caaspp.org/rsc/pdfs/CAASPP.TOMS.pre-admin-guide.2016-17.Chapter-5.pdf
<input type="checkbox"/>	11. Ensure that the secure browser has been downloaded to any device(s) on which students will be testing.	1–2 hours	Complete at least 1–2 weeks prior to testing <i>and again</i> the day before testing or morning of testing.	Check with your CAASPP test site coordinator or school technology coordinator if the [Secure Browser] icon is missing. <i>Technical Specifications and Configuration Guide for CAASPP Online Testing, Chapter 4: Secure Browser Configuration—</i> http://www.caaspp.org/rsc/pdfs/CAASPP.tech-specs-and-config-guide.2016-17.Chapter-4.pdf

	Test Examiner Activities (ELA and Mathematics)	Estimated Time to Complete	Target Completion Date	Notes/Resources
<input type="checkbox"/>	12. The CAAs for ELA and mathematics online assessments are to be administered one on one. The test examiner will share use of the testing device with the student. Confirm audio is working on the device, such that both you and the student can hear the audio.	15 minutes	Before testing.	Both ELA and mathematics tests contain videos and/or animations containing audio.
<input type="checkbox"/>	13. Review all guidelines for creating a secure test environment. <ul style="list-style-type: none"> Review all security procedures and guidelines in the <i>Online Test Administration Manual</i>. Carefully read and sign the test security affidavit. 		Prior to and during day(s) of testing.	<i>Online Test Administration Manual</i> , Chapter 3 <i>CAASPP Test Security Affidavit</i> — http://www.caaspp.org/rsc/pdfs/CAASPP.Security.Affidavit.2016.pdf
<input type="checkbox"/>	14. Make sure the physical conditions of the testing room are satisfactory and that no instructional materials directly related to the content of the assessments are visible.		Complete the day(s) of testing.	<ul style="list-style-type: none"> Make sure that cell phones, electronic devices of any kind, and other materials not needed for the test are not present in the testing room unless required by a student's IEP or Section 504 plan. Ensure that students do not access unauthorized electronic devices (such as cell phones) at any time during testing. <i>Online Test Administration Manual</i> , Chapter 3
<input type="checkbox"/>	15. On the day of testing, verify you have the student's logon information (first name, SSID, and session ID).		Complete the day(s) of testing.	<i>Online Test Administration Manual</i> , Chapter 6 <i>Online Test Administration Manual</i> , Chapter 7
<input type="checkbox"/>	16. Begin the one-on-one test administration with a student by starting with the ELA or mathematics assessment, beginning with the Student Response Check for the content area.		Complete the day(s) of testing.	CAA DFA

	Test Examiner Activities (ELA and Mathematics)	Estimated Time to Complete	Target Completion Date	Notes/Resources
<input type="checkbox"/>	17. Administer the assessment according to the script in the <i>DFA</i> , following the script and directions for administration. Provide any necessary non-embedded designated supports and accommodations.	60–100 minutes per test	Complete the day(s) of testing.	Provide students with scratch paper for all test sessions. Also provide students in grades 6 and above graph paper for the mathematics assessments. <i>Online Test Administration Manual, Chapter 6</i>
<input type="checkbox"/>	18. Report any summative testing improprieties, irregularities, and breaches to the CAASPP test site coordinator and LEA CAASPP coordinator in writing immediately following an impropriety, irregularity, or breach. The coordinator will report the incident using the online CAASPP Security and Test Incident Reporting System form to submit a report and may also call the California Department of Education immediately, when appropriate.		Complete as soon as possible during or immediately following testing.	<i>Online Test Administration Manual, Chapter 3</i>
<input type="checkbox"/>	19. Securely dispose of all printed testing materials, including the <i>DFA</i> , print-on-demand documents, and scratch paper.		Complete after testing.	<i>Online Test Administration Manual, Chapter 3</i>

Contact Information	
Questions about the overall administration and state policies	Questions about technology and the overall administration procedures
<p>California Department of Education Accommodations, Test Policy, Testing Irregularities</p> <p>Name: CAASPP Office</p> <p>Phone: 916-445-8765</p> <p>E-mail: caaspp@cde.ca.gov</p>	<p>School Technology Coordinator</p> <p>Name: _____</p> <p>Phone: _____</p> <p>E-mail: _____</p> <p>CAASPP Test Site Coordinator</p> <p>Name: _____</p> <p>Phone: _____</p> <p>E-mail: _____</p> <p>LEA CAASPP Coordinator</p> <p>Name: _____</p> <p>Phone: _____</p> <p>E-mail: _____</p>

California Alternate Assessment (CAA) for Science

	Test Examiner Activities (Science)	Estimated Time to Complete	Target Completion Date	Notes/Resources
<input type="checkbox"/>	1. Confirm that you have received your Test Operations Management (TOMS) logon information. You should have received an automated e-mail from TOMS notifying you of how to log on. You will also use this username and password for the online testing system.		Complete at least 1–2 weeks prior to testing.	If you have not received this information, please check your spam/junk e-mail folder to see if it was mistakenly routed there. If not, check with your CAASPP test site coordinator. <i>TOMS Pre-Administration Guide for CAASPP Testing</i> Chapter 2: Passwords, Access, and Logons— http://www.caaspp.org/rsc/pdfs/CAASPP.TOMS-pre-admin-guide.2016-17.Chapter-2.pdf
<input type="checkbox"/>	2. View the CAA Test Administration Tutorial and present the resulting completion certificate to your California Assessment of Student Performance and Progress (CAASPP) test site coordinator or local educational agency (LEA) CAASPP coordinator and/or attend a locally provided training.	2–3 hours	Complete at least 2–3 weeks prior to testing.	<i>Online Test Administration Manual, Chapter 2</i> CAAs— http://www.caaspp.org/administration/about/caa/
<input type="checkbox"/>	3. Review all CAASPP policy and test administration documents.	1–2 hours	Complete at least 2–3 weeks prior to testing.	<i>Online Test Administration Manual</i>
<input type="checkbox"/>	4. Download and carefully review the sample embedded performance task (PT), and prepare any necessary materials.	1 hour	Complete prior to administering the sample embedded PT.	<i>Online Test Administration Manual, Appendix C</i> CAAs— http://www.caaspp.org/administration/about/caa/
<input type="checkbox"/>	5. Provide students taking the CAA for Science with a walk-through of the sample embedded PT.	1 hour	Complete multiple opportunities prior to testing.	<i>CAA for Science Training Sample Embedded PT—</i> http://www.caaspp.org/rsc/pdfs/CAA.sample-embedded-PT.2016-17.pdf
<input type="checkbox"/>	6. Work with the CAASPP test site coordinator to determine testing schedules; make sure your students' test administration schedule includes allowable breaks.		Complete at least 1–2 weeks prior to testing.	<i>Online Test Administration Manual, Chapter 4</i>
<input type="checkbox"/>	7. Work with your CAASPP test site coordinator to ensure that each student to whom you are administering the CAA for Science has a Statewide Student Identifier (SSID).	2–4 hours	Complete at least 1–2 weeks prior to testing.	None.

	Test Examiner Activities (Science)	Estimated Time to Complete	Target Completion Date	Notes/Resources
<input type="checkbox"/>	8. Work with your CAASPP test site coordinator to obtain the CAA for Science embedded PT for each grade you are administering. You will need a separate copy for each student administered.	15 minutes	1–2 weeks per testing. Note that these files will be available for use starting in March, 2017, and may be administered any time, once available, up until the end of the LEA's selected testing window.	TOMS— https://caaspp.ets.org/ High School Grade Assignments for 2016–17 Science Pilot Testing— http://www.caaspp.org/administration/about/science/science-assignments.html
<input type="checkbox"/>	9. Carefully review the PT.	1–2 hours	Complete prior to administering the embedded PT.	<i>Downloaded embedded PT(s)</i>
<input type="checkbox"/>	10. Gather any materials needed, according to guidelines provided in the embedded PT and individual student needs and learning styles, and record which materials are used to administer the embedded PT.	30 minutes	Complete prior to administering the embedded PT.	<i>Downloaded embedded PT(s)</i>
<input type="checkbox"/>	11. Review all guidelines for creating a secure test environment. <ul style="list-style-type: none"> Review all security procedures and guidelines in the <i>Online Test Administration Manual</i>. Carefully read and sign the test security affidavit. 		Prior to and during day(s) of testing.	<i>Online Test Administration Manual, Chapter 3</i> <i>CAASPP Test Security Affidavit—</i> http://www.caaspp.org/rsc/pdfs/CAASPP.Security_Affidavit.2016.pdf
<input type="checkbox"/>	12. Make sure the physical conditions of the testing room are satisfactory and that no instructional materials directly related to the content of the assessments are visible.		Complete the day(s) of testing.	<ul style="list-style-type: none"> Make sure that cell phones, electronic devices of any kind, and other materials not needed for the test are not present in the testing room unless required by a student's IEP or Section 504 plan. Ensure that students do not access unauthorized electronic devices (such as cell phones) at any time during testing. <i>Online Test Administration Manual, Chapter 3</i>
<input type="checkbox"/>	13. Administer the assessment according to the guidelines in the embedded PT. Provide any necessary non-embedded designated supports and accommodations.	60–100 minutes per test	Complete the day(s) of testing.	<i>Downloaded embedded PT</i>

	Test Examiner Activities (Science)	Estimated Time to Complete	Target Completion Date	Notes/Resources
<input type="checkbox"/>	14. Administer the student post-testing survey questions that are included at the end of the embedded PT document. You should administer the survey questions to the student immediately after he or she has completed the embedded PT. Student survey responses then need to be entered into the test delivery system at some point prior to the close of the LEA's selected testing window in order for the student to be counted as tested.	10 minutes	Questions should be asked of the student immediately after testing. Student responses should be entered in the test delivery system before the close of the LEA's selected testing window. (Instructions for logging on to the test delivery system can be found in the <i>Online Test Administration Manual</i> and in other CAAs for ELA and mathematics materials.)	<i>Online Test Administration Manual</i> , Chapter 6 <i>Online Test Administration Manual</i> , Chapter 7
<input type="checkbox"/>	15. Score each student's responses and record directly on the embedded PT document or in a separate document. Also record which materials are used to administer the embedded PT to each student, and any modifications used.		During and after administration of the embedded PT.	<i>Downloaded embedded PT</i>
<input type="checkbox"/>	16. Securely dispose of all printed testing materials, including any blank embedded PTs.	15 minutes	Complete after testing.	<i>Online Test Administration Manual</i> , Chapter 3
<input type="checkbox"/>	17. Deliver completed embedded PT documents, including student responses, scores, materials used, and any modifications, to your LEA or test site coordinator. These materials will be retained centrally by the LEA.	1 day	Deliver completed embedded PT documents, including student responses, scores, materials used, and any modifications, to your LEA or test site coordinator. These materials will be retained centrally by the LEA.	

Contact Information	
Questions about the overall administration and state policies	Questions about technology and the overall administration procedures
<p>California Department of Education Accommodations, Test Policy, Testing Irregularities</p> <p>Name: CAASPP Office Phone: 916-445-8765 E-mail: caaspp@cde.ca.gov</p>	<p>School Technology Coordinator</p> <p>Name: _____ Phone: _____ E-mail: _____</p> <p>CAASPP Test Site Coordinator</p> <p>Name: _____ Phone: _____ E-mail: _____</p> <p>LEA CAASPP Coordinator</p> <p>Name: _____ Phone: _____ E-mail: _____</p>

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Change Log

Change(s)	Section(s)	Date
Some page numbers have shifted as a result of edits.	Global	March 22, 2017
Updated in-text references to Appendix B to read "Appendix B: Online Item Types.	References on pages 5, 23, and 48	March 22, 2017
Added a linked reference to the <i>Accessibility Guide for California Assessment of Student Performance and Progress (CAASPP) Online Testing</i> above the section listing new accessibility supports.	<i>Table 1. What's New in the 2016–17 CAASPP Administration</i> , page 7 <i>Table 11. What's New for Universal Tools, Designated Supports, and Accommodations</i> , 64	March 22, 2017
Added "Science Chart" to the list of non-embedded designated supports for the California Science Test.	<i>Table 1. What's New in the 2016–17 CAASPP Administration</i> , page 7 <i>Table 11. What's New for Universal Tools, Designated Supports, and Accommodations</i> , 65	March 22, 2017
Removed "consecutive months" from consideration of the calculation of an English learner's exemption from the Smarter Balanced for English Language Arts/Literacy assessment and clarified that consideration starts after April 15.	<i>CAASPP Testing Overview > About the Assessments</i> , page 10 <i>Administration Preparation and Planning > General Test Administration Information > Assessment Participation > Participation of Students with Disabilities and/or English Learners > Smarter Balanced Summative Assessments > Participation Requirements</i> , page 46	March 22, 2017
Clarified the name of the section in which the test administrator directions for administration resides and provided a direct link.	<i>Table 3. Manuals</i> , page 20	March 22, 2017
Added text to clarify that CAASPP test site coordinators must collect California Alternate Assessment (CAA) for Science embedded performance task documents that include student responses and send them to the local educational agency (LEA) CAASPP coordinator for secure storage for one year.	<i>Table 5. Requirements of the Test Environment</i> , page 27 <i>Pilot of the Science Assessments > Administration Information for the California Alternate Assessment (CAA) for Science > Purpose of the Pilot Test > Embedded Performance Tasks (PTs)</i> , page 76 <i>Administering the Summative Assessments to Students > General Steps to Take Prior to Summative Test Administration > Tasks to Complete Prior to Summative Test Administration</i> , list item, page 84 <i>LEA CAASPP Coordinator Checklist</i> , step 19, page 183 <i>CAASPP Test Site Coordinator Checklist</i> , steps 13 and 18, pages 188 and 189	March 22, 2017

Change(s)	Section(s)	Date
Updated the name of the subsection linked in step 2 of the guidelines when using the print-on-demand accommodation to " Destroying Electronic Files. "	<i>Test Security > Handling Secure Printed Materials > Secure Handling of Printed Materials > Using Print-on-Demand Materials, page 30</i>	March 22, 2017
Updated the e-mail address from which Security and Test Administration Incident Reporting System (STAIRS) responses are sent, to DONOTREPLY@cde.ca.gov .	<i>Test Security > Using the Security and Test Administration Incident Reporting System, page 35</i>	March 22, 2017
Added additional examples of an impropriety and an irregularity.	<i>Test Security > Using the Security and Test Administration Incident Reporting System > Required Action Steps, pages 35 (impropriety) and 36 (irregularity)</i>	March 22, 2017
Added a warning that the [Complete Submission] button must be selected to complete the STAIRS process.	<i>Test Security > Using the Security and Test Administration Incident Reporting System > The CAASPP STAIRS Form > Form Actions, page 39</i>	March 22, 2017
Clarified that the <i>CDE Review Decision</i> screen in the <i>CAASPP STAIRS</i> form also provides instructions for further action.	<i>Test Security > Using the Security and Test Administration Incident Reporting System > The CAASPP STAIRS Form > Process > Appeals, page 40</i>	March 22, 2017
Added a direct link to Chapter 3 of the <i>Test Operations Management System (TOMS) Pre-Administration Guide for CAASPP Testing</i> Web document in the Additional Resources list and the in-text mention.	<i>Administration Preparation and Planning > General Test Administration Information > Testing Time and Recommended Order of Administration > Testing Time And Scheduling > Testing Windows, page 53</i>	March 22, 2017
Separated the CAA test examiner paragraph from the test administrator paragraph; changed "Smarter Balanced" to "CAASPP" to clarify that these guidelines apply to all online assessments.	<i>Administration Preparation and Planning > General Test Administration Information > Sensitive Responses > Taking Appropriate Action with Student Responses or Student Actions That Cause Concern, page 61</i>	March 22, 2017
Clarified some of the eligibility requirements for taking the CAA for Science, including that it is a significant cognitive disability that is described in a student's individualized education program that determines eligibility to take the CAA for Science.	<i>Pilot of the Science Assessments Administration Information for the California Alternate Assessment (CAA) for Science > Overview of the Assessment, page 76</i>	March 22, 2017
Clarified that test administration of the CAA for Science embedded performance task may begin on March 20, 2017, even if that is before the selected testing window opens.	<i>Pilot of the Science Assessments Administration Information for the California Alternate Assessment (CAA) for Science > Overview of the Assessment, page 76 and various</i>	March 22, 2017
Upgraded the "Note" about the importance of completing the student post-testing survey to a "Warning."	<i>Pilot of the Science Assessments Administration Information for the California Alternate Assessment (CAA) for Science > Purpose of the Pilot Test > Surveys > Student Survey, page 76</i>	March 22, 2017

Change(s)	Section(s)	Date
Clarified that the embedded PT is “administered after related concepts have been taught” (rather than “embedded into a student’s classroom lesson”).	<i>Administering the Summative Assessments to Students > General Steps to Take Prior to Summative Test Administration > Tasks to Complete Prior to Summative Test Administration</i> , list item, page 84	March 22, 2017
Updated the <i>Unable to Establish a Connection</i> message sample.	<i>Figure 53. Unable to Establish a Connection message</i> , page 177	March 22, 2017
Removed the “Text-to-Speech Tracking” item; this tool is not available for CAASPP testing.	<i>Table 20. Context Menu Tools and Stimulus Tools</i> , page 143	March 22, 2017
Added the Test Examiner Checklists to support administration of the CAAs for English language arts/literacy, mathematics, and science.	<i>Appendixes > Appendix K: Test Examiner Checklists</i> , page 196	March 22, 2017